

**UNITED STATES DISTRICT COURT
For the Northern District of California**

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UNITED STATES DISTRICT COURT
Northern District of California
San Francisco Division

11 LINCOLN D. FINLEY JR., No. C 13-04701 LB
12 Plaintiff,
13 v.
14 JOHN HANCOCK LIFE INSURANCE [Re: ECF No. 1]
COMPANY; CALIFORNIA STATE
CONTROLLER OFFICE,
15 Defendants.
**ORDER TO SHOW CAUSE RE:
SUBJECT-MATTER JURISDICTION**

17 On October 9, 2013, plaintiff Lincoln Finley, who is proceeding *pro se*, filed a complaint against
18 defendants John Hancock Life Insurance Company and California State Controller Office.
19 Complaint, ECF No. 1. At the same time, he filed an application to proceed *in forma pauperis*.
20 Application, ECF No. 3. Upon initial review of his complaint, it is not clear that this court has
21 subject-matter jurisdiction over this action.

22 Federal courts are courts of limited jurisdiction, and the court is presumed to lack jurisdiction
23 unless the contrary appears affirmatively from the record. *DaimlerChrysler Corp. v. Cuno*, 547 U.S.
24 332, 342 n.3 (2006). The burden of establishing jurisdiction rests upon the party asserting it.
25 *Kokkonen v. Guardian Life Ins. Co. of Am.*, 511 U.S. 375, 377 (1994) (citing *McNutt v. Gen. Motors*
26 *Acceptance Corp.*, 298 U. S. 178, 182-183 (1936)).

Under the general federal question jurisdiction statute, “[t]he district courts shall have original jurisdiction of all civil actions arising under the Constitution, laws, or treaties of the United States.”

1 28 U.S.C. § 1331. Whether a case arises under federal law is determined by looking at the face of
2 the well-pleaded complaint. *Holmes Group, Inc. v. Vornado Air Circulation Systems, Inc.*, 535 U.S.
3 826, 830 (2002). “For a case to arise under federal law, a plaintiff’s well-pleaded complaint must
4 establish either (1) that federal law creates the cause of action or (2) that the plaintiff’s asserted right
5 to relief depends on the resolution of a substantial question of federal law.” *K2 Am. Corp. v. Roland*
6 *Oil & Gas, LLC*, 653 F.3d 1024, 1029 (9th Cir. 2011) (citation and internal quotation marks
7 omitted).

8 Under the diversity jurisdiction statute, federal courts have original jurisdiction where the
9 opposing parties are citizens of different states and the amount in controversy exceeds \$75,000. 28
10 U.S.C. § 1332. The basic requirement in diversity cases is that all plaintiffs must be of different
11 citizenship from all defendants or there is no federal diversity jurisdiction. *See Exxon Mobil Corp.*
12 *v. Allapattah Services, Inc.*, 545 U.S. 546, 562 (2005). For purposes of determining citizenship, a
13 natural person is deemed to be a citizen of the state where he or she is domiciled, which is where he
14 or she resides with the intention to remain. *See Kanter v. Warner-Lambert Co.*, 265 F.3d 853, 857
15 (9th Cir. 2001); *Kantor v. Wellesley Galleries, Ltd.*, 704 F.2d 1088, 1090 (9th Cir. 1983). And a
16 corporation is “a citizen of every state and foreign state by which it has been incorporated and of the
17 State or foreign state where it has its principal place of business.” 28 U.S.C. § 1332(c)(1).

18 In his complaint, Mr. Finley does not allege any facts to establish that this court has subject-
19 matter jurisdiction over the action.¹ Accordingly, the court **ORDERS** Mr. Finley to show cause why
20 this action should not be dismissed for lack of subject-matter jurisdiction. He shall do so by filing a
21 written response no later than October 31, 2013.

22 To assist him, the court attaches to this order a copy of the district court’s *Handbook for Litigants*
23 *Without a Lawyer*. It provides instructions on how to proceed at every stage of your case, including
24 discovery, motions, and trial. Mr. Finley also may wish to seek assistance from the Legal Help

26 ¹ Mr. Finley did not include a “jurisdictional statement” in his complaint, even though he is
27 required to do so by this district’s civil local rules. *See N.D. Cal. L.R. 3-5(a)* (“Each complaint . . .
28 must include a separate paragraph entitled ‘Jurisdiction.’ The paragraph will identify the statutory
or other basis for federal jurisdiction and the facts supporting such jurisdiction.”); *see also*
Representing Yourself in Federal Court: A Handbook for Pro Se Litigants at 10-11 (attached).

1 Center, a free service offered by the Justice & Diversity Center of the Bar Association of San
2 Francisco, by calling 415-782-8982, or by signing up for an appointment on the 15th Floor of the
3 Federal Courthouse in San Francisco, 450 Golden Gate Avenue, San Francisco, California. At the
4 Legal Help Center, you will be able to speak with an attorney who may be able to provide basic
5 legal help but not representation.

6 **IT IS SO ORDERED.**

7 Dated: October 15, 2013



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LAUREL BEELER
United States Magistrate Judge